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Attorney Docket No.: PMT002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of:)

Katz, et al)

Serial No.: 09/858,122)

Filed: May 15, 2001)

For: A Method for Managing a Workflow)
Process that Assists Users in Procurement,)
Sourcing, and Decision-Support for)
Strategic Sourcing)

Art Unit: Unassigned

Examiner: Unassigned

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

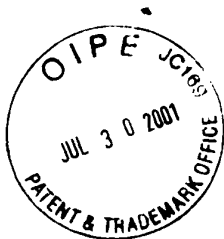
1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____

Filing Date: _____

Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):



- (a) ____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."
3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
- (a) X Within 3 months of the filing date or date of entry into the National Stage.
- (b) ____ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251 for consideration of this Statement.
- (c) ____ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ____ The required certification is given below, or
- (2) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) ____ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251
- (d) ____ After the mailing date of either a final action or a notice of allowance, ~~but~~ before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- (1) ____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
- (2) ____ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-0251.
4. Certification (if applicable)
- (a) ____ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent

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office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

- (b) — The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0251.

Respectfully submitted,

Alan R. Loudermilk
Registration No. 32,788
Attorney for Applicant(s)

July 26, 2001
10950 N. Blaney Ave., Suite B
Cupertino, CA 95014
(408) 342-1866

CERTIFICATE OF EXPRESS MAIL

I hereby certify that the foregoing is being deposited with the U.S. Postal Service, Express Mail Label No. EL751998491US, to the Assistant Commissioner for Patents, this 26th day of July, 2001.

Karen A. Rogers



Form PTO-1449 (REV. 7-92) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) RECEIVED SEP 24 2001	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Attorney's Docket Number PMT-002	Serial No. 09/858,122
	Applicant(s): Katz, et al			
	Filing Date: 05/15/2001		Group Art Unit:	

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	7	9	9	1	5	6	1/17/89	Shavit et al	364	401	
	4	8	8	7	2	0	7	12/12/89	Natarajan	364	401	
	4	9	9	2	9	4	0	2/12/91	Dworkin	364	401	
	5	1	2	8	8	6	1	7/7/92	Kagami et al.	364	403	
	5	3	1	9	5	4	2	6/7/94	King, Jr. et al.	364	401	
	5	7	1	0	8	8	7	1/20/98	Chelliah et al.	395	226	
	5	7	8	1	9	1	1	1/14/98	Young et al.	707	201	
	5	9	1	3	2	1	4	6/15/99	Madnick et al.	707	10	
	5	9	7	4	3	9	5	10/26/99	Bellini et al.	705	9	
	5	9	9	5	9	4	5	11/30/99	Notani et al.	705	28	
	6	0	2	3	6	8	3	2/8/00	Johnson et al.	705	26	
	6	0	4	7	2	9	0	4/4/00	Kennedy et al.	707	103	
	6	0	5	5	5	1	6	4/25/00	Johnson et al.	705	27	
	6	0	5	5	5	1	9	4/25/00	Kennedy et al.	705	80	
	6	0	8	5	1	7	6	7/4/00	Woolston	705	37	
	6	0	8	5	2	2	0	7/4/00	Courts et al.	709	201	
	6	1	1	9	1	4	9	9/12/00	Notani	709	205	
	6	1	5	7	9	1	5	12/5/00	Bhaskaran et al.	705	7	
	6	2	0	8	9	9	0	3/27/01	Suresh et al.	707	6	



FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO
	WO	99/	4	5	4	8	6	9/10/99	PCT				
	WO	00	1	7	7	7	3	3/30/00	PCT				
	WO	00	1	7	7	7	4	3/30/00	PCT				
	WO	00	1	7	7	9	8	3/30/00	PCT				
	WO	01	0	9	8	0	3	2/8/01	PCT				

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

1	Babb, John, "Supply Chain Management Primer," Clarkston Group, Inc., 2000. Available on Internet: http://supplychain.ittoolbox.com/documents/document.asp?i=1095 , pp. 1-12.
2	B2B: Building Technology Bridges Outside the Four Walls of the Enterprise. Robertson Stephens Report. August 15, 2000, p. 21-30.
3	"Business Intelligence in the New Economy." Fairfax, Virginia: Intelix, Inc., 2001, p. 1-14. Available on the Internet at http://businessintelligence.ittoolbox.com/documents/document.asp?i=1032
4	Chen, Ming-Syan, Jiawei Han and Philip S. Yu. "Data Mining: An Overview from Database Perspective," pp. 1-40. Available on the Internet at http://citeseer.nj.nec.com/chen96data.html .
5	Dombroski, Glenn. "Walking the Razors Edge: Threaded Simultaneous Supply Chain Planning." Caelus, Inc. 2001. Available on the Internet at http://supplychain.ittoolbox.com/documents/document.asp?i=575
6	The eCommerce:B2B Report. eMarketer.com. July 2000, p. 109-151.
7	Ferrier, Karen. "OLAP & Data Mining: Bridging the Gap." Keynote speech in Database Programming and Design at Data Mining Summit. February 1997. Available on the Internet at http://www.datamine.aapsiweb.com/bridge.htm .
8	Ganeshan, Ram, and Terry P. Harrison. "An Introduction to Supply Chain Management." 1995. Available on the Internet at http://silmaril.smeal.psu.edu/misc/supply_chain_intro.html .
9	Inmon, William H. (ed.), Ken Rudin, Christopher Buss, and Ryan Sousa. <u>Data Warehouse Performance</u> . New York John Wiley & Sons, 1998.
10	Kimball, Ralph. <u>The Data Warehouse Toolkit: Practical Techniques for Building Dimensional Data Warehouses</u> . New York: John Wiley & Sons, 1996.
	Koch, Christopher. "Four Strategies." CIO Magazine. Oct. 1, 2000. Available on the Internet at http://www.cio.com/archive/100100_four.html .
11	Lee, Mong Li, Hongjun Lu, Tok Wang Ling, and Yee Teng Ko. "Cleansing Data for Mining and Warehousing," Proceedings of the 10 th International Conference on Database and Expert Systems Applications (DEXA), Florence, Italy, August 1999, p. 1-10. Available on the Internet at http://citeseer.nj.nec.com/lee99cleansing.html .
12	Whang et al., "Information Flows in Manufacturing under SAP R/3." Working paper. June, 1995. Stanford University, Graduate School of Business, p. 1-17.
13	White, Colin. "Multidimensional OLAP vs. Relational OLAP." InfoDB 10(2): 1-4. Available on the Internet at http://www.dbaint.com/InfoDBDW.html .
14	Winsberg, Paul. "Modeling the Data Warehouse and Data Mart." InfoDB 10(2): 1-10. Available on the Internet at http://www.dbaint.com/InfoDBDW.html .
EXAMINER	
DATE CONSIDERED	

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.